EXPRESS MAIL LABEL NO. EV 334 000 674 US

| INFORMATION | Attorney Docket | AERX-062CON3 |
|-------------------------------------------------------|----------------------|---------------------------------------------|
| DISCLOSURE STATEMENT | First Named Inventor | SCHUSTER, JEFFREY A. |
| | Application Number | To Be Assigned |
| | Confirmation No. | To Be Assigned |
| | Filing Date | Herewith |
| Address to: | Group Art Unit | To Be Assigned |
| Mail Stop Patent Application Commissioner for Patents | Examiner Name | To Be Assigned |
| P.O. Box 1450 Alexandria, VA 22313-1450 | | JRE CONTROLLING DEVICE FOR RUG DELIVERY" |

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. Copies of Forms PTO-1449 and 892 listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

All of the references identified herein were disclosed in parent application serial number 09/839,248, filed 4/20/2001 and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C.§102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. AERX-062CON3 may be charged thereon.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date:

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200

Menlo Park, CA 94025 Telephone: (650) 327-3400 Facsimile: (650) 327-3231 Varl Rozicev

Registration No. 28,807

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number,

| | e for form 1449A/PTO | | | | mplete if Known |
|-------|----------------------------|-------|-----|--------------------------------|------------------------------|
| | INFORMATION DISCL | os | URE | Application Number Filing Date | 09/839,248 April 20, 2001 |
| 1 | STATEMENT BY APP | LIC | ANT | First Named Inventor | Jeffrey A. Schuster et al. |
| | | | | Group Art Unit | 3761 |
| L | (use as many sheets as ned | essa. | ry) | Examiner Name | To Be Assigned |
| Sheet | 1 | of | 2 | Attorney Docket Number | AERX062CON2 |

| | | | | U.S. PATENT DOCUM | MENTS | |
|---------------------------|--------------------------|------------------------|-------------------|--------------------------------------------------|--------------------------------------------------|------------------------------------------------------------------------------------|
| Examin er Initials' | Cite No.1 Number Kind Co | | Code ² | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear |
| | AA | 3,933,276 | | Packham et al. | 01/29/1976 | |
| | AB | 4,587,966 | | Albarda | 05/13/1986 | |
| | AC | 4,653,494 | | Ruderian | 03/31/1987 | |
| | AD | 4,922,901 4,947,875 | | Brooks et al. | 05/08/1990 | |
| | AE | | | Brooks et al. | 08/14/1990 | |
| | AF | 5,038,769 | | Krauser | 08/13/1991 | |
| | AG | 5,497,763 | | Lloyd et al. | 03/12/1996 | |
| | AH | 5,522,385 | | Lloyd et al. | 06/04/1996 | |
| | Al | 5,660,166 | | Lloyd et al. | 08/26/1997 | |
| | AJ | | | Hajaligol et al. | 09/09/1997 | |
| | AK | | | Rubsamen et al. | 09/30/1997 | |
| | AL | 5,709,202 | | Lloyd et al. | 01/20/1998 | |
| | AM | 5,718,222 | | Lloyd et al. | 02/17/1998 | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | |
|-----------------------|--------------------------|-------------------------|--------------------------------------|---------------------------------------------|-----------------------------------------------------|--------------------------------------------------|------------------------------------------------------------------------------------|----------------|
| Examiner Initials' | Cite No. ¹ | For Office ³ | eign Patent D Number ⁴ | ocuments Kind Code ⁵ (if known) | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | | | | | | | |
| | | | | | | | | <u> </u> |
| | | | | | | | | |
| | 1 | 1 | | | | | | 1 |

+

| | OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS | | | | | | | |
|-----------------------|-------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--|--|--|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | | | | | |
| | AN | Bates, David V., et al., (1966) "Deposition and Retention Models for Internal Dosimetry of the Human Respiratory Tract", <i>Health Physics</i> , Vol. 12:173-207. | | | | | | |

| Examiner | Date |
|-----------|------------|
| Signature | Considered |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

电影公司部外的政策的人员是使用的政策的人员,这个人的政策的人,这个人的政策的人,这个人的政策的人,这个人的人,这个人的人,也是这个人的人,也是这种政策的人,不不是一个人的人的人,这个人的人的人,也不是这个人的人,也是这个人的人的人,也是这个人的人的人的人,也是这个人的人的人的人,也是这个人的人的人的人的人,也是这个人的人的人的人,也是这个人的人的人的人,也是这个人的人,也是这个人的人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人的人,也是这个人,也是这个人的人,也是是这个人的人,也是这种人,也是这种人的人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这种人,也是这

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

| | e for form 1449A/PTO | | | Cor | mplete if Known |
|-------|----------------------------|------|-----|------------------------|----------------------------|
| l | INCODE AZION DIGO: | | | Application Number | 09/839,248 |
| 1 | INFORMATION DISCL | .05 | URE | Filing Date | April 20, 2001 |
| | STATEMENT BY APP | LIC | ANT | First Named Inventor | Jeffrey A. Schuster et al. |
| 1 | | | | Group Art Unit | 3761 |
| L | (use as many sheets as nec | essa | (v) | Examiner Name | To Be Assigned |
| Sheet | 2 | of | 2 | Attorney Docket Number | AERX062CON2 |

| AO | Byron, Peter R., (1986) "Prediction of Drug Residence Times in Regions of the Human Respiratory Tract Following Aerosol Inhalation", <i>J. of Pharm. Sciences</i> , Vol. 75(5):433-438. |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AP | Farr, Stephen J., et al., (1996) "AERx-Development of a Novel Liquid Aerosol Delivery System Concept to Clinic", Respiratory Drug Delivery V, pp. 175-185. |
| AQ | Ferron et al. (1988) "Inhalation of Salt Aerosol Particles – II. Growth and Deposition in the Human Respiratory Tract." <i>J. Aerosol Sci.</i> , Vol. 19(5):611-631. |
| AR | Hickey, A.J., et al., (1990) "Effect of Hydrophobic Coating on the Behavior of a Hygroscopic Aerosol Powder in an Environment of Controlled Temperature and Relative Humidity", <i>J. of Pharm. Sciences</i> , Vol. 79(11):1009-1014. |
| AS | Morrow et al. (1966) "Deposition and retention models for internal dosimetry of the human respiratory tract. Task group on lung dynamics." Health Phys., Vol. 12(2):173-207. |
| AT | Phipps, Paul R., et al., (1990) "Droplets Produced by Medical Nebulizers: Some Factors Affecting Their Size and Solute Concentration" <i>Chest</i> , Vol. 97:1327-1332 |
| AU | Stahlhofen et al. (1980) "Experimental determination of the regional deposition of aerosol particles in the human respiratory tract." <i>Am Ind Hyg Assoc J.</i> , Vol. 41(6):385-98a. |

| Examiner | Date |
|-----------|------------|
| Signature | Considered |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Notice of References Cited

Application/Control No.

O9/839,248

Examiner

Lalita M Hamilton

Applicant(s)/Patent Under Reexamination SCHUSTER ET AL.

Art Unit

Page 1 of 1

U.S. PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|--------------------------------------------------|-----------------|-----------------|----------------|
| | Α | US-5246018 | 09-1993 | Deevi et al | Olassincation |
| | В | US-4911157 | 03-1990 | Miller | |
| | С | US-4106503 | 08-1978 | Rosenthal et al | |
| | D | US-5249586 | 10-1993 | Morgan et al | |
| | Ε | US-3949743 | 04-1976 | Shanbrom | |
| | F | US-4682010 | 07-1987 | Drapean et al | |
| | G | US-3872280 | 03-1975 | Van Dalen | |
| | Н | US-4735217 | 04-1988 | Gerth et al | |
| | 1 | US- | | | |
| | J | US- | | | |
| | К | US- | | | |
| | L | US- | | | |
| | М | US- | | | |

FOREIGN PATENT DOCUMENTS

| | TORLIGH PATENT DOCUMENTS | | | | | | | |
|---|--------------------------|--------------------------------------------------|-----------------|---------|------|----------------|--|--|
| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification | | |
| | N | | | | | | | |
| | 0 | | | | | | | |
| | Р | | | | | | | |
| | α | | | | | | | |
| | R | | | | | | | |
| | s | | | | | | | |
| | Т | | | | | | | |
| | | | | | | | | |

NON-PATENT DOCUMENTS

| * | 1 | |
|----------|------------|-------------------------------------------------------------------------------------------|
| <u>_</u> | ├ ─ | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
| | U | |
| | ٧ | |
| | w | |
| | х | |
| 'A cor | v of this | reference is not being (|

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)